

Dear Valued Client,
尊敬的客戶

Re: Notice of Amendments to the Terms and Conditions
關於：修訂「條款及條件」之通知

Thank you for choosing Yuanta Futures (HK) Co., Limited ("YFHK") as your investment partner.
感謝閣下一直以來對元大期貨(香港)有限公司(「本公司」)的支持。

Pursuant to Clause 1.12 of our Terms and Conditions, YFHK is writing to notify you of the amendments to our Terms and Conditions. These amendments will become effective on April 1, 2026 (the "Effective Date").

依據本公司「條款及條件」第 1.12 條之約定，本公司將修訂現行之「條款及條件」。相關修訂將於 2026 年 4 月 1 日(「生效日」)起生效。

To comply with relevant regulatory requirements, YFHK has added Clauses 14.1 and 14.2 under "PART D – RESPECTIVE RISK DISCLOSURE STATEMENTS". These additions are intended to properly disclose the potential risks associated with client assets held in YFHK's omnibus account with a clearing house or an executing/clearing agent. Please be assured that this update is strictly for the fulfillment of our statutory risk disclosure obligations. It does not in any way affect your existing trading rights, the security of your funds, or our fee schedules. For details, please refer to the appendix.

本次修訂係因應相關監管要求。本公司於「丁部 各帳戶之風險披露聲明」新增第 14.1 及 14.2 條，旨在妥善揭露客戶資產存放於結算所或執行／結算代理人之綜合帳戶時的潛在風險。敬請留意，本次修訂純屬法定風險披露義務之履行，絕對不影響閣下現有之交易權限、資金安全性及各項收費標準。詳情請參閱附件。

If you continue to maintain your account with YFHK or place any trading instructions on or after the Effective Date, you will be deemed to have read, understood, and agreed to be bound by the amended Terms and Conditions. Should you have any questions, please contact your Account Manager.

若閣下於生效日後繼續維持於本公司之帳戶或向本公司下達交易指示，即表示閣下已閱讀、理解並同意接受經修訂之「條款及條件」約束。如有任何疑問，請聯絡閣下的客戶經理。

Yuanta Futures (HK) Co., Limited
元大期貨(香港)有限公司 謹啟

March 30, 2026
2026 年 3 月 30 日

Appendix - Table of Amendments

Amended Provision	Existing Provision
<p>PART D – RESPECTIVE RISK DISCLOSURE STATEMENTS</p> <p>14. Client Assets</p> <p>14.1 Your rights to assets held by YFHK in YFHK’s omnibus account with a clearing house may be subject to YFHK fulfilling YFHK’s obligations to the clearing house, which may be further subject to YFHK’s other clients fulfilling their obligations to YFHK, despite the fact that you did not default on your obligations to YFHK.</p> <p>14.2 Your rights to assets held by YFHK in YFHK’s omnibus account with an executing or clearing agent may be subject to YFHK, YFHK’s other clients, the executing or clearing agent or their agents, and other clients of the executing or clearing agent or their agents fulfilling their obligations to their counterparties, despite the fact that you did not default on your obligations to YFHK.</p>	<p>New Provision</p>

附件：修正條文對照表

修正條文	現行條文
<p>丁 部 各帳戶之風險披露聲明</p> <p>14. 客戶資產</p> <p>14.1 你能否享有你對持有在元大期貨(香港)於某結算所開立的綜合帳戶內的資產的權利，可能取決於元大期貨(香港)能否向該結算所履行其責任，而元大期貨(香港)能否履行其責任可能進一步取決於元大期貨(香港)的其他客戶能否向元大期貨(香港)履行他們的責任，儘管事實上你並無違反你對元大期貨(香港)負有的責任。</p> <p>14.2 你能否享有你對持有在元大期貨(香港)於某執行或結算代理人開立的綜合帳戶內的資產的權利，可能取決於元大期貨(香港)、元大期貨(香港)的其他客戶、該執行或結算代理人或其代理人，以及該執行或結算代理人或其代理人的其他客戶能否向它們的對手方履行其責任，儘管事實上你並無違反你對元大期貨(香港)負有的責任。</p>	<p>新增條文</p>